



## Audit and Risk Management Committee

**Date:** TUESDAY, 17 JULY 2018

**Time:** 2 pm

**Venue:** COMMITTEE ROOMS, 2ND FLOOR, WEST WING, GUILDHALL

**Members:**

Alderman Ian Luder (Chairman)	Deputy Jamie Ingham Clark (Ex-Officio Member)
Alexander Barr (Deputy Chairman)	Alderman Ian Luder (Chairman)
Hilary Daniels (Deputy Chairman – External Member)	Kenneth Ludlam (External Member)
Randall Anderson	Paul Martinelli
Alderman Nick Anstee	Caroline Mawhood (External Member)
Alexander Barr (Deputy Chairman)	Jeremy Mayhew (Ex-Officio Member)
Chris Boden	Andrien Meyers
Hilary Daniels (Deputy Chairman)	John Petrie
Anne Fairweather	
Marianne Fredericks	
Alderman John Garbutt	

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**There will be a working lunch for Members in the Committee Room from 12.45pm during the Chief Officer Risk Challenge Session.**

**N.B. Parts of this meeting may be subject to audio-visual recording**

**John Barradell  
Town Clerk and Chief Executive**

# **AGENDA**

## **Part 1 - Public Agenda**

1. **APOLOGIES**

2. **MEMBERS' DECLARATIONS UNDER THE CODE OF CONDUCT IN RESPECT OF ITEMS ON THE AGENDA**

3. **MINUTES OF THE PREVIOUS MEETING**

To agree the public minutes of the meeting held on 29 May 2018.

**For Decision**  
(Pages 1 - 10)

4. **OUTSTANDING ACTIONS OF THE COMMITTEE**

Report of the Town Clerk.

**For Decision**  
(Pages 11 - 12)

5. **COMMITTEE WORK PROGRAMME**

Report of the Town Clerk.

**For Decision**  
(Pages 13 - 16)

### **External Inspections**

6. **SPECIAL EDUCATIONAL NEEDS AND DISABILITY (SEND) CITY OF LONDON LOCAL AREA INSPECTION OUTCOME - MAY 2018**

Report of the Director of Community and Children's Services.

**For Information**  
(Pages 17 - 32)

7. **DEEP DIVE RISK REVIEWS**

Reports of the Town Clerk.

**For Information**

- a) CRO1 - Resilience (Pages 33 - 46)

*Please note that the appendix to this report also applies to item 7(b) on the agenda.*

- b) CR24 - Operational Security (Pages 47 - 54)

8. **RISK MANAGEMENT UPDATE**

Report of the Chamberlain.

**For Decision**  
(Pages 55 - 88)

**Financial Statements/External Audit**

9. **CITY FUND AND PENSION FUND FINANCIAL STATEMENTS**

Report of the Chamberlain and the External Auditor.

**For Decision**  
(Pages 89 - 338)

10. **TREASURY MANAGEMENT STATEMENT**

Report of the Chamberlain.

**For Information**  
(Pages 339 - 368)

**Internal Audit**

11. **INTERNAL AUDIT UPDATE**

Report of the Head of Internal Audit and Risk Management.

**For Decision**  
(Pages 369 - 376)

12. **QUESTIONS ON MATTERS RELATING TO THE WORK OF THE COMMITTEE**

13. **ANY OTHER BUSINESS THAT THE CHAIRMAN CONSIDERS URGENT**

14. **EXCLUSION OF THE PUBLIC**

**RESOLVED:** That under Section 100(A) of the Local Government Act 1972, the public be excluded from the meeting for the following items on the grounds that they involve the likely disclosure of exempt information as defined in Part I of the Schedule 12A of the Local Government Act.

**For Decision**

**Part 2 - Non-Public Agenda**

15. **NON-PUBLIC QUESTIONS ON MATTERS RELATING TO THE WORK OF THE COMMITTEE**

16. **ANY OTHER BUSINESS THAT THE CHAIRMAN CONSIDERS URGENT AND WHICH THE COMMITTEE AGREE SHOULD BE CONSIDERED WHILST THE PUBLIC ARE EXCLUDED**